



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Aavishkar Bharara : Art Unit: 2813
Serial No.: 10/800,769 : Examiner: Not Assigned
Filed: March 15, 2004 :
For: MULTI-TIER APPLICATION :
ARCHITECTURE :

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

1. A list of documents on form PTO/SB/08A (Substitute for form 1449/PTO) together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]
3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- a. The required Certification made in item 5 below; or
 b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

- 4. 37 CFR 1.97(d): [on or before issue fee payment]; and
 - a. The required Certification is stated in item 5 below; and
 - b. The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.
- 5. Certification
 - a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
 - b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.
- 6. Payment of all applicable fees:
 - Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 01-2384.
 - Enclosed is a check in the amount of \$ _____ in payment of all applicable fees associated with the submittal of this Information Disclosure Statement.

This document is submitted in duplicate.

Respectfully Submitted,



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(314) 621-5070



PTO/SB/08A (09-06)

Approved for use through 03/31/2007. OMB 0651-0031
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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known	
Application Number	10/800,769
Filing Date	March 15, 2004
First Named Inventor	Aavishkar Bharara
Art Unit	2183
Examiner Name	
Attorney Docket Number	16INI0224

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ²Applicant's unique citation designation number (optional). ³See Kinds of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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<p>Substitute for form 1449/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(Use as many sheets as necessary)</p>		Complete if Known	
		Application Number	10/800,769
		Filing Date	March 15, 2004
		First Named Inventor	Aavishkar Bharara
		Art Unit	2183
		Examiner Name	
Sheet 2	of 2	Attorney Docket Number	16INI0224

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	OA	A copy of a Japanese language Notice of Reasons for Rejection from the Japanese Patent Office	
	OB	H. Tarusawa et al., "J2EE Application development Guide - The Front-line Use of Application Server - [The 5th] Reason why EJB is used now and Point of EJB	
		mounting," Java WORLD, Vol. 5, No. 2, Japan: IDG Japan Ltd. (February 1, 2001), pp. 106-114.	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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